

1132 U.S. PTO  
07/26/01

FORM PTO-1082

EXPRESS MAIL LABEL NO.: EL640513676US

**PATENT**

Attorney Docket No.: 843161-77

Date: July 26, 2001

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventors: RAYMOND K. HO and VICTOR E. JOCHIONG  
For: Computer System Having A Hot Swappable Hot Swap Controller

☐ **APPLICANT(S) CLAIMS SMALL ENTITY STATUS. SEE 37 CFR 1.27.**

Enclosed are:

- 24 Pages of Specification  
10 Sheets of drawing (X formal \_\_\_\_\_ informal)  
X Combined Declaration For Patent Application And Power Of Attorney. \_\_\_\_\_ Will follow.  
X Form PTO-1595 and an assignment of the invention to SUN MICROSYSTEMS, INC. \_\_\_\_\_ Will follow.  
\_\_\_\_ A certified copy of \_\_\_\_\_ from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. \_\_\_\_\_ Will follow.  
\_\_\_\_ An associate Power of Attorney  
\_\_\_\_ Information Disclosure Statement, Form PTO 1449 and \_\_\_\_\_ prior art reference(s).  
\_\_\_\_ Preliminary Amendment.  
X General Authorization/Request to Petition for Extension of Time.  
X Postcard

| FOR:         | NO. FILED | NO. EXTRA | SMALL ENTITY RATE | SMALL ENTITY FEE |    | OTHER THAN SMALL ENTITY RATE | OTHER THAN SMALL ENTITY FEE |
|--------------|-----------|-----------|-------------------|------------------|----|------------------------------|-----------------------------|
| BASIC FEE    |           |           |                   | \$355.00         | OR |                              | \$710.00                    |
| TOTAL CLAIMS | 24        | -20=      | -4-               | X 9              | OR | X 18                         | \$ 72.00                    |
| INDEP CLAIMS | 3         | -3=       | -0-               | X 40             | OR | X 80                         | \$-0-                       |
| MULTIPLE     |           |           |                   | X+ 135           | OR | + 270                        | \$-0-                       |
| DEP CLAIMS   |           |           |                   | TOTAL:           |    | TOTAL:                       | \$782.00                    |
| PRESENTED    |           |           |                   | \$               |    |                              |                             |

\_\_\_\_ Please charge my Deposit Account No. 50-0639 the amount of XX. A duplicate copy of this sheet is enclosed.

X A check in the amount of \$782.00 to cover the filing fee is enclosed.

X A check in the amount of \$40.00 to cover the Assignment Recordation Fee is enclosed.

X The Commissioner is hereby authorized to charge payment of the following fees associate with this communication or credit any overpayment to Deposit Account No. 50-0639. A duplicate copy of this sheet is enclosed.

X Any additional filing fees required under 37 CFR 1.16.

X Any patent application processing fees under 37 CFR 1.17.

\_\_\_\_ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0639. A duplicate copy of this sheet is enclosed.

\_\_\_\_ Any patent application processing fees required under 37 CFR 1.17.

\_\_\_\_ The issue fee set in 37CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.31(b).

\_\_\_\_ Any filing fees under 37 CFR 1.16 for presentation or extra claims.

Respectfully submitted,



Brian M. Berliner  
Registration No. 34,549

O'MELVENY & MYERS LLP  
400 So. Hope Street  
Los Angeles, CA 90071-2899  
(213) 430-6000

JC986 U.S. PTO  
09/916882  
07/26/01

07-30-01

A

PATENT

Docket No.: 843161-77

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: RAYMOND K. HO and VICTOR E. JoChiong

For: Computer System Having A Hot Swappable Hot Swap Controller

JC986 U.S. PTO  
09/916882

07/26/01

CERTIFICATE OF MAILING

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

"EXPRESS MAIL" Mailing Label No.: EL640513676US

Date of Deposit: July 26, 2001

I hereby certify that an application for patent, including:

24 pages of Specification (which includes 24 claims and a one-page Abstract); ten Sheets of formal Drawings; an executed Combined Declaration And Power of Attorney; a General Authorization/Request to Petition for Extensions of Time; a check in the amount of \$782.00 to cover the filing fee; an executed Assignment document and Form PTO-1595, including a check in the amount of \$40.00 to cover the assignment recordation fee; a Transmittal Letter (Form PTO 1082); and Return Postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: July 26, 2001

W. Liddell  
Wilburn Liddell